



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Braddy, et al.

Examiner:

Not yet Assigned

Serial No.:

10/711,730

Art Unit:

2171

Filing Date:

September 30, 2004

Title:

A METHOD AND APPARATUS FOR REDUCING DISCLOSURE OF PROPRIETARY DATA IN A NETWORKED ENVIRONMENT

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as Regular Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 27, 2006.

Name: Vincent Montalbano

Sir:

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

## Type of Statement

The present Information Disclosure Statement is:

- [] An original Information Disclosure Statement; or
- [X] A supplemental Information Disclosure Statement.

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Serial No.: 10/711,730 Attorney Docket No.: 2006579-0250 4073802v1 Client Reference No.: CTX-118

# Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

[X]	Pursuant to 37 CFR § 1.97(b); no fee or certification is required:					
	[]	Within three months of the filing date of a national application other than				
		a continued prosecution application under § 1.53(d);				
	[]	Within three months of the date of entry of the national stage as set forth				
		in § 1.491 in an international application;				
	[X]	Before the mailing of a first Office action on the merits; or				
	[]	Before the mailing of a first Office action after the filing of a request for				
		continued examination under § 1.114.				
[]	Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the ma					
	date of any of a final action under § 1.113, a notice of allowance under § 1.311					
	an action that otherwise closes prosecution in the application; Applicant hereby					
	either:					
	[]	Certifies that either:				
		[]	each item of information contained in the information disclosure			
			statement was first cited in any communication from a foreign			
			patent office in a counterpart foreign application not more than			
			three months prior to the filing of the information disclosure			
			statement; or			
		[]	That no item of information contained in the information			
			disclosure statement was cited in a communication from a foreign			
			patent office in a counterpart foreign application, and, to the			
			knowledge of the person signing the certification after making			

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Attorney Docket No.: 2006579-0250 Client Reference No.: CTX-118

Serial No.: 10/711,730 4073802v1 reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; or

- [ ] Includes herewith the fee set forth in § 1.17(p),
- [] Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:
  - [] Certifies that either:
    - each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
    - That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; and
  - [] Includes herewith the fee set forth in  $\S$  1.17(p).

### Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- [X] A copy of each cited reference not indicated with an asterisk is included;
- [] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(a)(2)(ii);
- [] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

- [X] Does not contain non-English language citations;
- [] Includes one or more translations of a non-English citation; or
- [] Does contain non-English language citations.

#### Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 USC §102.

It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application; and
- 4. Applicant directs the Examiner's attention to the following related United States patent applications:

Our Reference	Serial No.	Filing Date
No.		
2006579-0550	11/365,355	3/1/2006
(CTX-097)		
2006579-0445	10/956,832	10/1/2004
(CTX-098)		
2006579-0504	11/272,598	11/14/2005
(CTX-098DV)		
2006579-0254	10/711,731	9/30/2004
(CTX-123)		
2006579-0448	10/956,764	10/1/2004
(CTX-121)		

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted,

Dated: April 27, 2006

John D. Lanza Reg. No. 40,060

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Form PTO-1449 (REV. 8-83)		Patent and Trac	MAY 0 1 2006 8	Atty. Docket: 2006579-0250 (CTX-118)	In re Application No. 10/711,730			
FIRST SUP	PLEMEN	TAL CLOSURE STATEMEN eets if necessary)		Applicant: Braddy, et al.				
INFORMA' (Use	FION DIS several sh			Filing Date: Sept. 30, 2004	Group: 2171			
U.S. PATEN	NT DOCU	IMENTS						
Examiner's Initials	Cite No.	U.S. Patent No.	Applicant	Issue Date	Class	Subclass		
U.S. PATEN	T APPL	   ICATIONS	desire a comment					
Examiner's Initials:	Cite No.	Publication Number:	Applicant:	Publication Date:	Group:	Art Unit:		
FOREIGN	 PATENT	DOCUMENTS			<u> </u>			
Examiner's	r's Cite No.	Document No.	Country	Publication Date	Translation			
Initials					Yes	No ·		
	B56	WO 2001-37517	PCT	May 25, 2001		,		
	B55	WO 2000-51290	PCT	August 31, 2000				
	B56	EP 0863453	EP	September 9, 1998				
	B57	EP 0927921	EP	July 7, 1999				
Non Patent	Literatur	e Documents						
Examiner's Initials	Cite No.	Citation (Including Author, Title, Date, Pertinent Pages, Etc.)						
	C66	International Searching Authority, "International Search Report," PCT Application No. PCT/ US05/028607, mailed on March 31, 2006, 10 pgs.						
	C67	International Searching Authority, "Written Opinion," PCT Application No. PCT/ US05/028607, mailed on March 31, 2006, 10 pgs.						
EXAMINER								